STATE OF LOUISIANA CERTIFICATE OF COMPLIANCE BY NON-PARTICIPATING MANUFACTURERS FOR QUARTER 2023

LOUISIANA REVISED STATUTES 13:5061, et seq. and 13:5071, et seq.

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Original	Amended	ш

GENERAL INFORMATION

What is the definition of a tobacco product manufacturer?

- Any entity that manufacturers cigarettes anywhere that such manufacturer intends to be sold in the United States, including cigarettes that are intended to be sold in the United States through an importer;
- The first purchaser anywhere for resale in the United States of cigarettes manufactured anywhere that the manufacturer does not intend to be sold in the United States; or
- A successor of any entity described above.

Who is required to file this Certificate of Compliance?

- Any tobacco product manufacturer which:
 - (1) sells cigarettes to consumers within the State of Louisiana (whether directly or through any distributor, retailer, or similar intermediary); and
 - (2) has not become a participating manufacturer to the tobacco Master Settlement Agreement.

You must file this Certificate of Compliance to report the units of cigarettes you sold and pay the amount calculated into your qualified escrow fund.

What is a non-participating manufacturer?

A non-participating manufacturer is any tobacco product manufacturer who has not signed onto the tobacco Master Settlement Agreement, executed on November 23, 1998, between 46 U.S. States, including Louisiana, and certain tobacco companies.

What is a qualified escrow fund?

You are required to establish a qualified escrow fund. This means an escrow arrangement with a federally or state-chartered financial institution having no affiliation with any tobacco product manufacturer and having assets of at least one billion dollars, where such arrangement (1) requires that the financial institution hold the escrowed funds' principal for the benefit of the State of Louisiana and other "releasing parties" as defined in the Master Settlement Agreement, or 25 years, whichever occurs first, and (2) prohibits you from using, accessing, or directing the use of the funds' principal except as consistent with Louisiana Revised Statutes 13:5061, et seq. and 13:5071, et seq.

When is this Certificate of Compliance due?

This Certificate of Compliance must be filed within forty-five (45) days after the end of each calendar quarter.

Note: The sales year is a calendar year, from January 1 through December 31. Quarters are as follows: January 1-March 31; April 1-June 30; July 1-September 30; and October 1-December 31.

When must I make my escrow payment?

You must deposit all escrow payments into your qualified escrow fund on or before forty-five (45) days following the end of each calendar quarter. Example: 1st quarter deposit is due by May 15th; 2nd quarter deposit is due by November 15th; and 4th quarter deposit is due by February 15th following the sales year.

Name of Manufacturer:	
Address:	
Telephone:	
E-mail:	
Amount deposited and attributable to Louisiana sale	es:

Revised: 3/23/2023

SALES					
Brand Family:	Unite Cold Devises Color des Occustos				
Total Number of Units Sold During Calendar Quarter:					
Quarterly Deposit Date:	_				
This is to certify that the above entity has	deposited \$0.0432723 (representing the inflation				
adjustment) for each unit sold (cigarette or roll-v	your-own tobacco as defined by La. R.S. 13:5062) in				
	The account was opened				
in the following federally or state chartered finance					
in the renewing rederany of state chartered infant	old moditation.				
Name of Financial Institution:					
Name of Financial Institution.					
Address:					
Telephone:	FAX:				
E-mail:					
Account No.: S	Sub-Account Number:				
Have you deposited funds attributable to other	states in this account? If yes, what is the				
total balance in this account as of the date of sig	ning of this certificate?				
Additional Information					
	y's most recent Annual Certification still the registered				
agent for the NPM? Yes □	No 🗆				
Is the escrow agreement provided with the com	pany's most recent Annual Certification still accurate,				
in force, and unchanged? Yes \Box	No 🗆				
If your answer to either of the preceding question	ns was "no," please attach a detailed explanation.				
in your answer to entire or the preceding question	is was no, picase attaon a detailed explanation.				

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Under penalty of perjury, I state that, to the best knowledge, all of the information contained in this Certificate of Compliance is true and accurate. *This document must also be signed and dated by an authorized notary public.*

Name of Authorized Agent:	Title:	
Signature of Authorized Agent:	Date:	
Subscribed and sworn to before me on this date:		
Signature of Notary Public:	Parish/County of:	
Printed or Typed Notary Public Name:	·	
My Commission expires:		

MAIL THIS CERTIFICATE OF COMPLIANCE TO:

ATTORNEY GENERAL JEFF LANDRY STATE OF LOUISIANA OFFICE OF THE ATTORNEY GENERAL TOBACCO SETTLEMENT ENFORCEMENT UNIT P. O. BOX 94005 BATON ROUGE, LA 70804-9005

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